



33169-PCT-USA-070255.0590  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Cabeo et al.  
Serial No. : 09/594,905  
Filed : June 15, 2000  
Art Unit : 1762  
Examiner : Padgett, Marianne, L.  
For : METHOD AND ARRANGEMENT FOR PLASMA  
BORONIZING

#1/2  
Freda  
10/10/02

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AMENDMENT


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October 1, 2002

Date of Deposit

Gary Abelev  
Attorney Name

40,479  
PTO Reg. No.

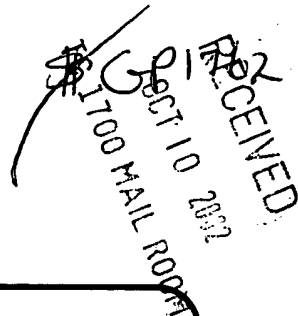
  
Signature

October 1, 2002  
Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action dated May 1, 2002, please amend the  
above-identified U.S. patent application as follows:

**BAKER BOTTS LLP**Please type a plus sign (+) inside this box → **+****TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission **3**

Application Number	09/594,905
Filing Date	June 15, 2000
First Named Inventor	Emilio Rodriguez Cabeo
Group Art Unit	1762
Examiner Name	Marianne L. Padgett
Attorney Docket Number	A33169-PCT-USA

**ENCLOSURES (check all that apply)**

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|---|--|

Remarks **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	 Att Name: Gary Abelev PTO Reg: 40,479
Date	10/1/02

**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **10/1/02**

Typed or printed name	Gary Abelev		
Signature		Date	10/1/02

BAKER BOTTS LLP

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 400

## Complete if Known

Application Number	09/594,905
Filing Date	June 15, 2000
First Named Inventor	Emilio Rodriguez Cabeo
Examiner Name	Marianne L. Padgett
Group Art Unit	1762
Attorney Docket No.	A33169-PCT-USA

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-4377  
Deposit Account Name: Baker Botts LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

### 2. EXTRA CLAIM FEES

Total Claims: 20 \*\* = 0 x Fee from below = 0  
Independent Claims: 3 \*\* = 0 x Fee from below = 0  
Multiple Dependent: =

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
130	65	Surcharge - late filing fee or oath
50	25	Surcharge - late provisional filing fee or cover sheet
130	130	Non-English specification
2,520	2,520	For filing a request for <i>ex parte</i> reexamination
920*	920*	Requesting publication of SIR prior to Examiner action
1,840*	1,840*	Requesting publication of SIR after Examiner action
110	55	Extension for reply within first month
400	200	Extension for reply within second month
920	460	Extension for reply within third month
1,440	720	Extension for reply within fourth month
1,960	980	Extension for reply within fifth month
320	160	Notice of Appeal
320	160	Filing a brief in support of an appeal
280	140	Request for oral hearing
1,510	1,510	Petition to institute a public use proceeding
110	55	Petition to revive - unavoidable
1,280	640	Petition to revive - unintentional
1,280	640	Utility issue fee (or reissue)
460	230	Design issue fee
620	310	Plant issue fee
130	130	Petitions to the Commissioner
50	50	Processing fee under 37 CFR 1.17(q)
180	180	Submission of Information Disclosure Stmt
40	40	Recording each patent assignment per property (times number of properties)
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))
740	370	For each additional invention to be examined (37 CFR § 1.129(b))
740	370	Request for Continued Examination (RCE)
900	900	Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 400

## SUBMITTED BY

Name (Print/Type) Gary Abelev

Signature

Registration No. (Attorney/Agent) 40,479

## Complete (if applicable)

Telephone (212) 408-2500

Date 10/1/02

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